

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David C. PAUL *et al.*

Attorney Docket No: GMI.0009.US

Application No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: January 23, 2004

Examiner: To Be Assigned

For: SPINE STABILIZATION SYSTEM

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR §§ 1.97 and 1.98, enclosed is a Form PTO-1449 that lists eighty-eight (88) references in reverse chronological order. Copies of the references are submitted herewith.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the attached Form PTO-1449.

No fee is believed to be due for this submission, since it is being made before an initial Office Action on the merits of the above-identified application.

Respectfully submitted,

Dated: January 23, 2004

By:  _____

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INFORMATION DISCLOSURE CITATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF. NO.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS
	AA	6,652,585 B2	11/25/03	Lange	623	17.11
	AB	6,623,484 B2	9/23/01	Betz et al.	606	61
	AC	6,616,669 B2	9/9/03	Ogilvie et al.	606	61
	AD	6,613,051 B1	9/2/03	Luk et al.	606	61
	AE	6,607,530 B1	8/19/03	Carl et al.	606	61
	AF	6,565,565 B1	5/20/03	Yuan et al.	606	61
	AG	6,562,040 B1	5/13/03	Wagner	606	61
	AH	6,551,320 B2	4/22/03	Lieberman	606	61
	AI	6,551,318 B1	4/22/03	Stahurski	606	61
	AJ	6,540,749 B2	4/1/03	Schafer et al.	606	61
	AK	6,524,310 B1	2/25/03	Lombardo et al.	606	61
	AL	6,488,683 B2	12/3/02	Lieberman	606	61
	AM	6,440,169 B1	8/27/02	Elberg et al.	623	17.16
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	AP	6,312,431 B1	11/6/01	Asfora	606	69
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	AR	6,299,613 B1	10/9/01	Ogilvie et al.	606	61
	AS	6,296,643 B1	10/2/01	Hopf et al.	606	61
	AT	6,293,949 B1	9/25/01	Justis et al.	606	61
	AU	6,290,711 B1	9/18/01	Caspari et al.	606	232
	AV	6,287,311 B1	9/11/01	Sherman et al.	606	78
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	AZ	6,248,106 B1	6/19/01	Ferree	606	61
	AAA	6,139,548	10/31/00	Errico	606	61
	ABB	5,997,542	12/7/99	Burke	606	74
	ACC	5,964,769	10/12/99	Wagner et al.	606	74
	ADD	5,947,966	9/7/99	Drewry et al.	606	61
	AEE	5,743,911	4/28/98	Cotrel	606	61
	AFF	5,725,582	3/10/98	Bevan et al.	623	17
	AGG	5,704,936	1/6/98	Mazel	606	61
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	BA	5,651,789	7/29/97	Cotrel	606	61
	BB	5,624,442	4/29/97	Mellinger et al.	606	61
	BC	5,609,634	3/11/97	Voydeville	623	17
	BD	5,575,790	11/19/96	Chen et al.	606	60

Examiner

Date Considered

Examiner: Initial if reference consider, whether or not citation is in conformance with MPEP §609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Application

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BE	5,562,660	10/8/96	Grob	606	61
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BG	5,527,311	6/18/96	Procter et al.	606	61
BH	5,415,661	5/16/95	Holmes	606	69
BI	5,413,576	5/9/95	Rivard	606	61
BJ	5,387,213	2/7/95	Breard et al.	606	61
BK	5,282,863	2/1/94	Burton	623	17
BL	5,242,446	9/7/93	Steffee et al.	606	61
BM	5,180,393	1/19/93	Commarmond	623	13
BN	5,092,866	3/3/92	Breard et al.	606	61
BO	5,011,484	4/30/91	Breard	606	61
BP	4,917,700	4/17/90	Aikins	623	13
BQ	4,820,305	4/11/89	Harms et al.	623	16
BR	4,773,402	9/27/88	Asher et al.	128	69
BS	4,743,260	5/10/88	Burton	623	17
BT	4,728,329	3/1/88	Mansat	623	13
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BW	4,041,939	8/16/77	Hall	128	69
BX	3,710,789	1/16/73	Ersek	128	92 BC
BY	3,648,691	3/14/72	Lumb et al.	128	92 D
BZ	Re. 36,221	6/1/99	Breard et al.	606	61

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REF. NO.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
BZ	JP 2000325358	11/28/00	Japan			Abstract Only
CA	JP 11155879	6/6/00	Japan			Abstract Only
CB	EP 1221308	7/10/02	Europe			Abstract Only
CC	FR 2728454	6/28/96	France			Abstract Only
CD	EP 677277	10/18/95	Europe			Abstract Only
CE	EP 667127	8/16/95	Europe			Abstract Only
CF	WO 95/19149	7/20/95	PCT			
CG	WO 94/01057	1/20/94	PCT			
CH	WO 93/20771	10/28/93	PCT			
CI	EP 322334	6/28/89	Europe			Abstract Only
CJ	DE 3639522	6/1/88	Denmark			Abstract Only

OTHER REFERENCES

REF. NO.	AUTHOR, TITLE, AUTHOR, DATE, PERTINENT PAGES, ETC.
CK	U.S. Patent Publication No. 2003/0060824 A1
CL	U.S. Patent Publication No. 2002/0198526 A1
CM	U.S. Patent Publication No. 2002/0143329 A1
CN	U.S. Patent Publication No. 2002/0133155 A1
CO	U.S. Patent Publication No. 2002/0120270 A1

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CP	U.S. Patent Publication No. 2002/0120269 A1
CQ	U.S. Patent Publication No. 2002/0107524 A1
CR	U.S. Patent Publication No. 2002/0087159 A1
CS	U.S. Patent Publication No. 2002/0082600 A1
CT	U.S. Patent Publication No. 2002/0077701 A1
CU	U.S. Patent Publication No. 2002/0007184 A1
CV	U.S. Patent Publication No. 2002/0055739 A1
CW	U.S. Patent Publication No. 2002/0013586 A1
CX	U.S. Patent Publication No. 2001/0037111 A1
CY	U.S. Patent Publication No. 2001/0029375 A1
CZ	U.S. Patent Publication No. 2001/0027319 A1

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